

PTO/SB/17 (11-01)

Approved for use through 10/31/2002 OMB 0651-0032

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 170

Complete if Known

Application Number	09/990,144
Filing Date	November 21, 2001
First Named Inventor	Binney Y. Lao
Examiner Name	
Group Art Unit	2836
Attorney Docket No.	SMI-P003

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

501906

Sierra Monolithics, Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
independent		-20** =		X			
Claims		-3** =		X			
Multiple Dependent							

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) 37 CFR 1.17(i): \$130

*Reduced by Basic Filing Fee Paid

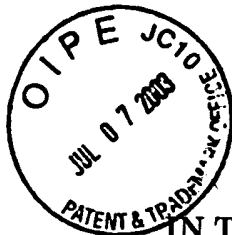
SUBTOTAL (3) (\$ 170

SUBMITTED BY

Name (Print Type)	Soyeon (Karen) P. Laub	Registration No. (Attorney/Agent)	39266	Telephone	(949) 552-6910
Signature				Date	3-19-02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



2817 Receipt

PATENT F07/07
Docket No. 89252.0004
(Former Docket No. SMI-P003) #12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Binneg Y. Lao, et al.

Serial No.: 09/990,144

Filed: November 21, 2001

For: CONNECTION PACKAGE FOR HIGH-SPEED INTEGRATED CIRCUIT

Art Unit: 2817

Examiner: Stephen E. Jones

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, on July 3, 2003	
Date of Deposit	
Kimberly Yee	
Name	
Signature	7/03/03
	Date

Dear Sir:

Applicants respectfully acknowledge receipt of the Corrected Filing Receipt dated March 7, 2003. However, a further correction needs to be made to the March 7, 2003 Corrected Filing Receipt in order to correct the Applicants' Names and Places of Residence. Applicants respectfully request that a Corrected Filing Receipt be issued reflecting these changes as follows:

APPLICANTS: Binneg Y. Lao, Rancho Palos Verdes, CA
William W. Chen, Westchester, CA
David A. Rowe, Torrance, CA
Inho Kim, Palo Alto, CA

For convenience, a photocopy of the Corrected Filing Receipt with the corrections marked thereon is attached.

Also enclosed are copies of the Correction of Inventionship, Request to Correct Inventorship dated March 19, 2002, Correction of Inventionship, Statement of Lack of Deceptive Intention dated December 4, 2001, Correction of Inventionship, Written Consent of Assignee dated March 19, 2002 and Declaration and Power of Attorney for Patent Application dated December 4, 2001 and December 17, 2001, included with the Assignment, etc., which were filed with the U.S. Patent and Trademark Office on March 19, 2002.

No fee is required for this request. However, if a fee should be required, please charge it to Deposit Account No. 50-1314 of the undersigned attorney's firm. A copy of this Request is enclosed.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: July 3, 2003

By: 

Soyeon (Karen) P. Laub

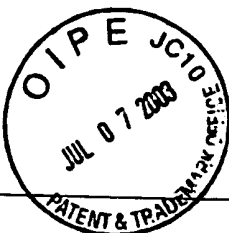
PTO Reg. No. 39,266

Attorneys for Applicants

500 South Grand Avenue Suite 1900
Los Angeles, California 90071
Telephone: (213) 337-6700
Facsimile: (213) 337-6701



UNITED STATES
PATENT AND
TRADEMARK OFFICE



Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/990,144	11/21/2001	2817	1357	89252.0004	11	83	13

Soyeon (Karen) P. Laub
HOGAN & HARTSON L.L.P.
Biltmore Tower
500 South Grand Avenue, Suite 1900
Los Angeles, CA 90071

CONFIRMATION NO. 2175

CORRECTED FILING RECEIPT



OC000000009611738

Date Mailed: 03/07/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Binneg Y. Lao, Rancho Palos Verdes, CA;
William W. Chen, Westchester, CA;
David A. Rowe, Torrance, CA;
Inho Kim, Palo Alto, CA

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 12/12/2001

Projected Publication Date: 05/22/2003

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Connection package for high-speed integrated circuit

Preliminary Class

361

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

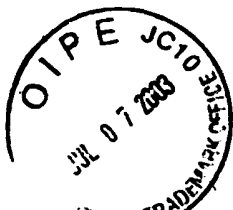
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the U.S. Patent Application of:		Examiner:
Serial No.:	09/990,144	Art Unit No.: 2836
Filing Date:	November 21, 2001	Confirm. No.:
For:	Connection Package for High-Speed Integrated Circuit	
Inventor(s):	Binneg Y. Lao et al.	

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

On March 19, 2002


Name: Soyeon (Karen) Laub

The following has been submitted to the U.S. Patent & Trademark Office with this certificate of mailing:

- | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|
| <input type="checkbox"/> <i>duplicate</i> Amendment/Response | <input checked="" type="checkbox"/> Declaration and Power of Attorney |
| <input type="checkbox"/> Appeal Brief (in <i>triplicate</i>) | <input type="checkbox"/> Drawings |
| <input type="checkbox"/> Application - Utility | <input type="checkbox"/> Express Mail Label No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation | <input checked="" type="checkbox"/> Fee Transmittal, in <i>duplicate</i> |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional | <input type="checkbox"/> <i>duplicate</i> IDS & PTO-1449 & citation copies |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP | <input type="checkbox"/> Issue Fee Transmittal, in <i>duplicate</i> |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Application - Design | <input type="checkbox"/> Petition for Extension of Time |
| <input type="checkbox"/> Application - PCT | <input type="checkbox"/> Preliminary Amendment |
| <input type="checkbox"/> Application - Provisional | <input type="checkbox"/> Reply Brief |
| <input checked="" type="checkbox"/> Assignment and <i>duplicate</i> Cover Sheet <i>original coversheet</i> | <input type="checkbox"/> Response to Notice of Missing Parts |
| <input checked="" type="checkbox"/> Return Receipt Postcard | <input type="checkbox"/> Appl Transmittal |
| <input checked="" type="checkbox"/> Check in the amount of \$ <u>170</u> | <input type="checkbox"/> Nonpublication Request |
| <input checked="" type="checkbox"/> Other: <u>Request to Correct Inventorship; Statement of Lack of Deceptive Intention; Written Consent of Assignee</u> | |

SIERRA MONOLITHICS, INC.

VENDOR: COMMIS COMMISS : PATENTS & TRADEMK
INVOICE#

Feb 25 02

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SIERRA MONOLITHICS, INC.

103 W. TERRANCE BLVD. STE. 102 940-975-2005
REDWOOD BEACH, CA 90277

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114288-1310

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DATE Feb 25 02

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WASHINGTON, DC 20231

SIERRA MONOLITHICS, INC.

Bruce C. Mast

⑈016070⑈ ⑆121042882⑆0221676786⑈

Applicant No.: 09/990,144
Title: Connection Package for High-Speed Integrated Circuit
Atty Docket No.: -P

Date Mailed: 3/19/02 Due Date: _____

The following has been received in the U.S. Patent & Trademark Office
on the date stamped hereon:

✓ Declaration and Power of Attorney (2 pgs)

Appl/Patent No.: 09/990,144 Filing/Issue Date: November 21, 2001

Title: Connection Package for High-Speed Integrated Circuit

Atty Docket No.: SMI-P003

Date Mailed: 3/19/02 Due Date: _____

The following has been received in the U.S. Patent & Trademark Office **MAR 29 2002**
on the date stamped hereon:

- | | |
|---------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Amendment/Response (<u> </u> pgs) | ✓ Declaration and Power of Attorney (<u>2</u> pgs) |
| <input type="checkbox"/> Appeal Brief (<u> </u> pgs) (in <i>triplicate</i>) | <input type="checkbox"/> Drawings: <u> </u> sheets, inc |
| <input type="checkbox"/> Application – Utility (<u> </u> pgs., w/ cover & abstract) | <input type="checkbox"/> Express Mail Label No. _____ |
| <input type="checkbox"/> Application – Rule 1.53(b) Continuation (<u> </u> pgs) | ✓ Fee Transmittal, in <i>duplicate</i> |
| <input type="checkbox"/> Application – Rule 1.53(b) Divisional (<u> </u> pgs) | <input type="checkbox"/> <i>duplicate</i> IDS & PTO-1449 (<u> </u> pgs) & citation copies |
| <input type="checkbox"/> Application – Rule 1.53(b) CIP (<u> </u> pgs) | <input type="checkbox"/> Issue Fee Transmittal, in <i>duplicate</i> |
| <input type="checkbox"/> Application – Rule 1.53(d) CPA Transmittal (<u> </u> pgs) | <input type="checkbox"/> Notice of Appeal (<u> </u> pgs) |
| <input type="checkbox"/> Application – Design (<u> </u> pgs) | <input type="checkbox"/> Petition for Extension of Time (<u> </u> pgs) |
| <input type="checkbox"/> Application – PCT (<u> </u> pgs) | <input type="checkbox"/> Preliminary Amendment (<u> </u> pgs) |
| <input type="checkbox"/> Application – Provisional (<u> </u> pgs) <i>& Original cover sheet</i> | <input type="checkbox"/> Reply Brief (<u> </u> pgs) |
| ✓ Assignment and <i>duplicate</i> Cover Sheet (<u>5</u> pgs) | <input type="checkbox"/> Response to Notice of Missing Parts (<u> </u> pgs) |
| ✓ Certificate of Mailing (<u>1</u> page) | <input type="checkbox"/> Appl Transmittal |
| ✓ Check in the amount of \$ <u>170</u> | <input type="checkbox"/> Nonpublication Request (<u> </u> pgs) |
| ✓ Other: <u>Request to Correct Inventorship (1 pg); Statement of Lack of Deceptive Intention (1 pg); Written Consent of Assignee (1 pg)</u> | |

